

Harp

In re Application of:

RANDALL J. HUEBNER and STEVEN P. HORST

Serial No.

10/717,401

Filed

November 19, 2003

Examiner David A. Bonderer

Group Art Unit 3732

Date: February 14, 2005

For

GUIDE SYSTEM FOR

**BONE-REPAIR DEVICES** 

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicants are submitting this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the U.S. Patent and Trademark Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicants respectfully request that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

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### CONTENT OF DISCLOSURE

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forms, and (2) a legible copy of each foreign and non-patent reference, if any, listed on the form(s). However, because this application was filed on or after July 1, 2003, no copies of U.S. patents or published U.S. patent applications are included.

## FOREIGN-LANGUAGE REFERENCES

A concise explanation of the relevance of each listed reference not in the English language follows:

French Patent No. 742618: This document appears to disclose a bone plate and targeting guide, as illustrated in the figures.

## TIMING OF DISCLOSURE / FEE INFORMATION

This Information Disclosure Statement is being filed more than three months after the filing date of a national application or the date of entry of the national stage in an international application and after the mailing of a first Office action but, to the best of the undersigned's knowledge, before the mailing date of any of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application. Therefore, in accordance with 37 C.F.R. § 1.97(c)(2), attached herewith is a check for \$180.00 for payment of the fee set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees that may be required, or to credit any overpayment, to Account No. 11-1540.

Please contact the undersigned with any questions or comments regarding this Information Disclosure Statement.

Respectfully submitted,

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Attorney for Assignee

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on February 14, 2005.

Lisa Holstein

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. e Paperwork Reduc Application Number 10/717.401 RANSMITTAL Filing Date November 19, 2003 First Named Inventor **FORM** Randall J. Huebner Art Unit 3732 **Examiner Name** David A. Bonderer (to be used for all correspondence after initial filing) Attorney Docket Number ACM 354 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): 1. Form PTO-1449 (three pages) Request for Refund **Express Abandonment Request** 2. One Foreign Reference

#### 3. Seven Other Documents CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Kolisch Hartwell, P.C. Signature ime Printed name James R. Abney, Esq. Date Reg. No. February 14, 2005 42,253 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

February 14, 2005

the date shown below:

Typed or printed name

Lisa Holstein

Signature

DATE OF THE PARTY	EB 17 2015 NOW					5	SHEET	Γ_1_	OF <u>3</u>	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			DOCKET NUMBER ACM 354		APPLICATION NUMBER 10/717,401					
			APPLICANTS Randall J. Huebner and Steven P. Horst							
			FILING DATE November 19, 20	GROUP ART UNIT 3732						
		U.S. PA	TENT DOCUMENTS	S						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	: <u>.</u>	CLAS	CLASS SU			IL. DATE APPROP.	
	2,243,717	5/27/41	Moreira							
	2,489,870	11/29/49	Dzus							
	2,500,370	3/14/50	McKibbin		-				· ·	
<u>-</u>	3,025,853	3/20/62	Mason							
	3,489,143	1/13/70	Halloran			· · · · ·				
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	4,465,065	8/14/84	Gotfried							
	4,493,317	1/15/85	Klaue							
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	4,565,191	1/21/86	Slocum					<u> </u>		
		FOREIGN I	PATENT DOCUME	NTS			<del></del>		· · · · · · · · · · · · · · · · · · ·	
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<u> </u>		<u> </u> ОТНТ	ER DOCUMENTS			<del>-=</del> '	<u> </u>			
	LISS: Less Invasive Stabilization System, Krettek et al., Dialogue, p. 3 (with electronic supplement), January 1999.									
	Less Invasive Stabilization System LISS, surgical technique, Synthes/Mathys, February 23, 1999.									
	Congruent Plate System, surgical technique, Acumed LLC, December 18, 2000.									
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			FILING DATE GROUP ART UNIT November 19, 2003 3732							
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	4,895,141	1/23/90	Koeneman et al.							
	4,917,604	4/17/90	Small		•					
	5,042,983	8/27/91	Rayhack						•	
	5,085,660	2/4/92	Lin							
	5,154,717	10/13/92	Matsen, III et al.							
	5,176,685	1/5/93	Rayhack			-				
	5,236,432	8/17/93	Matsen, III et al.						-	
	5,429,641	7/4/95	Gotfried						·	
	5,458,654	10/17/95	Tepic						<u> </u>	
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		OTHE	ER DOCUMENTS							
	Congruent Distal Radius Plate System, brochure, Acumed LLC, May 1, 2002.									
	Internal Fixation in Osteoporotic Bone, An, p. 276, July 2002.									
	AcroPlate, aap Implantate AG, p. 37, date unknown.									
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	5,601,565	2/11/97	Huebner								
	5,722,976	3/3/98	Br	own							
	6,096,043	8/1/00	Te	chiera et al.							
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	2003/0083661	5/1/03	Orbay et al.								
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